

**DECLARATION**

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

**NOVEL MONOFUNCTIONAL POLYETHYLENE GLYCOL
ALDEHYDES**

the Specification of which

☐ is attached hereto

☒ was filed on November 18, 2003
as Application Serial No. 10/715,607

☐ and was amended on _____ by amendment attached hereto.

We hereby state that we have reviewed and understand the contents of the above identified specification, which is specifically referred to in this oath or declaration.

We acknowledge the duty to disclose all information known to us which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, 119 of any provisional application filed in the United States in accordance with 35 U.S.C. §119(e), or any application for patent that has been converted to a Provisional Application within one (1) year of its filing date, or any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Application No.	Country	Priority Claimed
10-2001-0078244	Korea	YES
60/348,452	United States	YES
60/381,503	United States	YES
60/407,741	United States	YES

We hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, we acknowledge the duty to disclose all information known to us to be material to patentability as defined in Title 37,

Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Number</u>	<u>Date Filed</u>
10/303,260	November 25, 2002
10/431,294	May 7, 2003
10/661,268	September 12, 2003

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please address all correspondence regarding this application to
Customer No. 26345.

Telephone calls should be made to **William H. Epstein at Gibbons, Del Deo, Dolan, Griffinger & Vecchione** at:

Phone No.: 973-596-4607
Fax No.: 973-639-6397

Full name of 1st Inventor : **Perry Rosen**

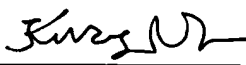
Inventor's Signature: _____ Date: _____

Residence : 7 Bell Street
Montclair, New Jersey 07042

Citizenship : United States

Post Office Address : 7 Bell Street
Montclair, New Jersey 07042

Full name of 2nd inventor : **Kwang Nho**

Inventor's Signature:  Date: 2/20/2004

Residence : 57 Claremont Avenue
Orinda, California 94563

Citizenship : South Korea

Post Office Address : 57 Claremont Avenue
Orinda, California 94563



103095-41585

DECLARATION

As below named inventors, we hereby declare that:

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Customer No. 26345.

Telephone calls should be made to **William H. Epstein at Gibbons, Del Deo, Dolan, Griffinger & Vecchione at:**

Phone No.: 973-596-4607
Fax No.: 973-639-6397

Full name of 1st Inventor : **Perry Rosen**

Inventor's Signature: 

Date: 4/1/04

Residence : 2929 First Avenue
Seattle, Washington 98121

Citizenship : United States

Post Office Address : 2929 First Avenue
Seattle, Washington 98121

Full name of 2nd inventor : **Kwang H. Nho**

Inventor's Signature: _____

Date: _____

Residence : 57 Claremont Avenue
Orinda, California 94563

Citizenship : South Korea

Post Office Address : 57 Claremont Avenue
Orinda, California 94563



Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (10-00)
Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Confirmation Number : 4865

Application Number	10/715,607
Filing Date	November 18, 2003
First Named Inventor	Perry Rosen
Group Art Unit	1711
Examiner Name	Unassigned
Attorney Docket Number	103095-41585

I hereby appoint:

☒ Practitioners at Customer Number

26345

Place Customer
Number Bar Code
Label here

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Kwang Nho

Signature

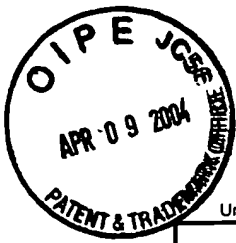
Kwang Nho

Date

2/20/2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ Total of One forms are submitted.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Sun Bio, Inc.
Application No./Patent No.: 10/715,607 Filed/Issue Date: November 18, 2003
Entitled: Novel Monofunctional Polyethylene Glycol Aldehydes
Sun Bio, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/20/2004
Date

Kwang Nho
Typed or printed name
Kwang Nho
Signature
CEO
Title



103095-41585

ASSIGNMENT

WHEREAS, WE, **Perry Rosen**, of 2929 First Avenue, Seattle, Washington 98121 and **Kwang Nho**, of 57 Claremont Avenue, Orinda, California 94563, United States, (Assignors) have invented certain new and useful improvements entitled

NOVEL MONOFUNCTIONAL POLYETHYLENE GLYCOL ALDEHYDES

WHEREAS, **SUN BIO, INC.**, a corporation having its principal place of business at Orinda, California, is desirous of acquiring the entire interest in and to said invention, said applications and all patents to be obtained therefrom.

NOW, THEREFORE, for and in consideration of valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned, and set over, and by these presents do hereby sell, assign and set over unto the said **SUN BIO, INC.** (Assignee), and said Assignee's legal representatives, successors, the entire right, title and interest, for the whole world, in and to said invention and said applications, as well as any subsequent application which claims priority based upon the filing date of any of said applications identified and the patents, both domestic and foreign, that may or shall result therefrom including the right to claim in respect of any subsequent United States and foreign patent applications and patents, the priority date of any of said applications under any United States statute and international convention or treaty; and we do hereby authorize and request the issuance of said patents, domestic and foreign, conformably to the terms of this Agreement.

UPON SAID CONSIDERATION, we do hereby covenant and agree with said Assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that will at any time upon request, without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and so such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining provisional, original, continuation, continuation-in-part, divisional, reissued, re-examined or extended patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chooses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our
seals this day of _____, 2004

Date

Perry Rosen

Date

Witness

2/20/2004
Date

Kwang Nho
Kwang Nho

2/20/2004
Date

Kurt S. [Signature]
Witness

ASSIGNMENT

WHEREAS, WE, **Perry Rosen**, of 2929 First Avenue, Seattle, Washington 98121 and **Kwang Nho**, of 57 Claremont Avenue, Orinda, California 94563, United States,(Assignors) have invented certain new and useful improvements entitled

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WHEREAS, **SUN BIO, INC.**, a corporation having its principal place of business at Orinda, California, is desirous of acquiring the entire interest in and to said invention, said applications and all patents to be obtained therefrom.

NOW, THEREFORE, for and in consideration of valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned, and set over, and by these presents do hereby sell, assign and set over unto the said **SUN BIO, INC.** (Assignee), and said Assignee's legal representatives, successors, the entire right, title and interest, for the whole world, in and to said invention and said applications, as well as any subsequent application which claims priority based upon the filing date of any of said applications identified and the patents, both domestic and foreign, that may or shall result therefrom including the right to claim in respect of any subsequent United States and foreign patent applications and patents, the priority date of any of said applications under any United States statute and international convention or treaty; and we do hereby authorize and request the issuance of said patents, domestic and foreign, conformably to the terms of this Agreement.

UPON SAID CONSIDERATION, we do hereby covenant and agree with said Assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that will at any time upon request, without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and so such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining provisional, original, continuation, continuation-in-part, divisional, reissued, re-examined or extended patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chooses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our
seals this day of _____, 2004

4/1/04
Date

4/1/04
Date

Date

Date

Perry Rosen
Perry Rosen

Marie Stubbleli
Witness

Kwang Nho

Witness